PCT

Tucson, AZ 85716 (US).

vard, Tucson, AZ 85716 (US).

(74) Agent: DURANDO, Antonio, R.; 2929 E. Broadway Boule-

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

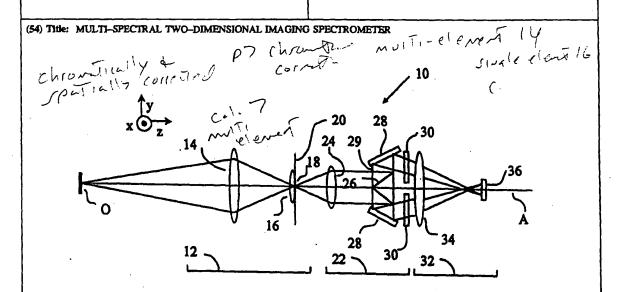


INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ ; G01J 3/26		(11) International Publication Number: WO 99/0295	
		(43) International Publication Date: 21 January 1999 (21.01.99)	
(21) International Application Number: PCT/US	98/142		
(22) International Filing Date: 9 July 1998 (09.07.9	BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX,	
(30) Priority Data: 60/053,266 12 July 1997 (12.07.97)	τ	NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent	
 (71) Applicant: OPTICAL INSIGIFTS, LLC [US/US]; Richey Boulevard, Tucson, AZ 85716 (US).	-2220 :	(AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, N. LU, MC, NL, PT, SB), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(72) Inventor: HOPKINS, Mark, F.; 2220 N. Richey B	ouleva	rd.	

Published

With international search report.



(57) Abstract

A multi-spectral two-dimensional imaging spectrometer (10) includes a combination of achromatic, well-corrected lenses (14) for imaging a two-dimensional scene on an internal field stop (20). The light emanating from this intermediate image is collimated with another well-corrected lens (24). A spectral separation subassembly (22) that divides the incident light into multiple, identical, and independent arms is placed in the collimated space following the collimating lens (24). The light in each arm is spectrally filtered based on the properties of an interference filter (30) in each arm. An imaging subassembly (32) composed of a well-corrected lens (34) forms contiguous images onto a single two-dimensional detector array (36). The images are identical copies of the original object with each copy having a different spectral component and can be viewed on a standard monitor or alternatively on a computer employing an analog-to-digital conversion device.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

L	Albania	ES	Spaln	LS	Lesotho	81	Slovenia
M	Armenia	PI	Finland	LT	Lithuania	SK	Slovakia
T	Austria	FR	France	LU	Luxembourg	SN	Senegal
U	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
Z	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
A	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
В	Barbados	GH	Ghana	MG	Madagascar	T.J	Tajikistan
E	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistun
F	Burkina Faso	GR	Отессе		Republic of Macedonia	TR	Turkey
G	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
J	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
R	Brazil	n.	israel	MR	Mauritania	UG	Uganda
Y	Belarus	LS	iceland	MW	Malawi	US	United States of America
A	Canada	IT	italy	MX	Mexico	UZ	Uzbekistan
P	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
G	Congo	KE	Kenya	NL	Netherlanda	YU	Yugoslavia
H	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
ī	Côte d'Ivoire	KP.	Democratic People's	NZ	New Zealand		
M	Cameroon		Republic of Korea	PL	Poland		
N	China	KR	Republic of Korea	PT	Portugal		
U	Cuba	KZ	Kazakatan	RO	Romania		
Z	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
ĸ	Germany	Ц	Liechsenstein	SD	Sudan		
K	Denmark	LK	Sri Lanka	SE	Sweden		
E	Estonia	LR	Liberia	SG	Singapore		

MULTI-SPECTRAL TWO-DIMENSIONAL IMAGING SPECTROMETER

RELATED APPLICATIONS

5 This application is based upon U.S. Provisional Application No. 60/053,266, filed July 12, 1997.

BACKGROUND OF THE INVENTION

10 Field of the Invention

This invention pertains broadly to the area of spectroscopic systems and imaging spectrometry where multiple images of an object are formed corresponding to different spectral components of the object. More specifically, the invention 15 relates to a novel imaging spectrometer designed to acquire simultaneous, spectrally-discrete, two-dimensional images in real time while maintaining the spatial integrity of the image without scanning mechanisms or mathematically intensive reconstruction/registration algorithms.

20

Description of the Related Art

Spectroscopic systems are optical systems that allow for the determination of the spectral (wavelength) composition of objects and scenes. Generally, these systems collect the 25 total energy coming from the object. The wavelengths that comprise the collected energy are separated with the use of a dispersive element employing refractive means such as a prism or diffractive means such as a grating. After passing through one of these dispersive elements, the different 30 wavelength components of the wavefront propagate in different directions and their intensities are recorded by a one-dimensional array of detector pixels.

Fairly complicated spectroscopic systems have been developed 35 in the prior art. For example, U.S. Patents No. 5,149,959 and No. 5,276,321 describe multichannel systems for the detection of the wavelength composition of an objects. U.S.

Patents No. 5,251,008, No. 5,561,521, No. 5,461,477, No. 5,225,888, and No. 5,059,026 employ interferometric methods for determining the spectral content of an object or scene. U.S. Patents No. 4,743,112 and No. 5,260,767 disclose 5 elaborate examples of systems wherein an imaging component forms the image of an object onto a slit aperture and the resulting one dimensional line image is collimated by a lens and dispersed by a grating or prism in a direction perpendicular to the line image. The dispersed light is 10 then imaged onto a two-dimensional detector array.

- U.S. Patent No. 5,216,484 describes an acousto-optic tunable filter-based imaging spectrometers. U.S. Patent No. 4,134,683 uses multiple channels, where each consists of a lens system, a spectral filter and a detector array. U.S. Patents No. 4,268,119, No. 4,084,180, No. 4,072,405 and 4,916,529 use a single optical system in conjunction with a multiple prism assembly. U.S. Patent No. 5,414,458 utilizes cube beamsplitters instead of prism assemblies. U.S. Patents No. 4,281,339 and No. 4,531,054 utilize a series of dichroic beamsplitters to propagate the incident light in different directions.
- U.S. Patent No. 4,650,321 discusses a multiple detector system where two detector arrays are used in combination with a dispersive imaging system. U.S. Patent No. 3,720,146 describes the use of four lenses arranged in a parallelogram configuration to simultaneously produce four images on a film plane. U.S. Patent No. 5,479,015 also implements 30 multiple focusing members to form a plurality of identical images on a single detector array. U.S. Patent No. 4,141,625 discusses the use of two partially reflecting mirrors in combination with a single lens system to create two images of an object on a single detector array. U.S. Patent No. 4,272,684 uses a reflective prism configuration to function as a beamsplitter.

Filter wheel systems have also been used as a means of obtaining spectral images using a single detector, as disclosed in U.S. Patent No. 5,587,784. U.S. Patent No. 4,933,751 describes a tri-color separating system which uses four dichroic beamsplitters to form three separate color images right next to each other at an image plane. U.S. Patent No. 4,786,813 disclose a method for producing two-dimensional, spectrally discrete images on a single detector array by employing a segmented concave mirror. Finally, 10 U.S. Pat. No. 5,024,530 discusses a telecentric, filtered imager capable of producing only two spectral images of an object; U.S. Patent No. 5,642,191 discloses a very similar approach. U.S. Patent No. 5,526,119 utilizes multi-faceted prisms to overcome the limitation of two-band imaging and 15 obtain more images.

These prior-art systems are not capable of performing twodimensional, real-time imaging spectrometry; many require mechanical or electrical scanning and often also require 20 application specific, computationally intensive, system matrices. Therefore, there is still a need for an imaging spectrometer that does not suffer from these drawbacks. This invention is directed at providing an apparatus and a related spectrometric approach to fulfil that need.

25

BRIEF SUMMARY OF THE INVENTION

An objective of this invention is a spectrometer that is 30 capable of two-dimensional, real-time imaging spectrometry, with sub-pixel registration of the images.

Another objective is a spectrometer that operates without the use of mechanical or electrical scanning.

35

Yet another goal is a spectrometric arrangement that does not require the use of application specific, computationally

4

intensive, system matrices.

والراجان والمنافق والمناف والمنافق المنافق المنافق المنافق والمنافق والمنافق والمنافق والمنافق والمنافق والمنافق

Finally, another goal is the implementation of the above mentioned objectives in a commercially viable system that 5 maximizes the utilization of existing technology and results in economic, compact, commercially viable products.

Therefore, according to these and other objectives, the present invention consists of a combination of single or 10 multi-element, achromatic, well-corrected lenses for imaging a two-dimensional scene on an internal field stop. light emanating from this intermediate image is then collimated with another multi-element, achromatic, wellcorrected lens. A spectral separation subassembly that 15 divides the incident light into multiple, identical, and independent arms is placed in the collimated space following the collimating lens. The light in each arm is spectrally filtered based on the properties of an interference filter in each arm. Finally, an imaging subassembly composed of a 20 single multi-element, achromatic, well-corrected lens system forms contiguous images onto a single two-dimensional detector array. The images are identical copies of the original object with each copy having a different spectral component and can be viewed on a standard monitor or 25 alternatively on a computer employing an analog-to-digital conversion device.

Thus, the spectrometer produces simultaneous, spectrally discrete, two-dimensional images that can be acquired in 30 real time. The system is capable of simultaneously forming two or more spectral images on a single detector plane with minimal image degradation caused by aberrations and with no optical dispersion due to the spectral separation assembly. Problems with image registration are minimized because each 35 spectral channel propagates through a common set of optics eliminating boresight errors common to multiple channel systems. External mechanical adjustments in the spectral

separation subassembly allow alignment capability of images to achieve r gistration to within one pixel. Thus, the device is extremely flexible and can be used with various camera mounts, camera lenses, and more complicated optical systems. In addition, the spectral filters are easily interchanged allowing spectral imaging over any wavelength region.

Various other purposes and advantages of the invention will become clear from its description in the specification that follows. Therefore, to the accomplishment of the objectives described above, this invention consists of the features hereinafter illustrated in the drawings and fully described in the detailed description of the preferred embodiment and particularly pointed out in the claims. However, such drawings and description disclose but some of the various ways in which the invention may be practiced.

20 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic representation of the y-z crosssection of an imaging spectrometer according to the preferred embodiment of the invention consisting of a multi-25 faceted reflecting component, a single lens re-imaging assembly and a single 2-D detector array.

Fig. 2 is a multi-spectral image of a circular spot produced by the invention utilizing a four-facet reflecting 30 component.

Fig. 3 is a schematic y-z cross-section representation of an alternative imaging spectrometer according to the invention consisting of a multi-faceted reflecting component, a multiple-lens re-imaging assembly and multiple 2-D detector arrays.

restructions and the restructions are selected and the restriction of the selection of the

20

6

- Fig. 4 is an imaging spectrometer according to another embodiment of the invention utilizing an interference filter spectral separation subassembly.
- 5 Fig. 5 is an imaging spectrometer with a multipleinterference filter spectral separation subassembly for obtaining more than four spectral images.
- Fig. 6 is a qualitative depiction of the reflection bands of 10 the first interference-filter component used in the embodiment of Fig. 5.
- Fig. 7 is a qualitative depiction of the reflection bands of the second interference-filter component used in the 15 embodiment of Fig. 5 overlaid on the reflection bands of first interference-filter component.
 - Fig. 8 is an illustration of the output of a detector of an imaging spectrometer with multiple interference filters.

DESCRIPTION OF THE PREFERRED EMBODIMENTS OF THE INVENTION

The heart of this invention lies in the improvements derived in a two-dimensional imaging spectrometer, wherein the image of an object is divided by a reflective prism and filtered into spectral components, by providing a pupil relaying optic for imaging the exit pupil of the preceding optics at a plane coincident with the apex of the prism; by providing an aperture stop at that same plane; and by imaging each spectral component on a detector through a single optical lens placed symmetrically on-axis.

In all figures used to illustrate this disclosure, the 35 coordinates x and y are used to describe the plane orthogonal to the main optical axis of the spectrometer, x and y corresponding to the horizontal and vertical

7

directions, respectively. The z coordinate corresponds to th direction along the optical axis of the device.

For the purposes of this disclosure, an optical filter is 5 defined as any component, such a spectral or neutral-density filter or a polarizer, that modifies the optical characteristics of an incident wavefront. The optical state of a wavefront is defined as the combination of the wavefront's intensity, phase, polarization and wavelength.

10

Referring to the drawings, wherein like reference numerals refer to like parts throughout, Fig. 1 is a schematic view of a two-dimensional imaging spectrometer 10 according to 15 the invention. The figure illustrates a y-z cross-section of the system, which is symmetrical about its optical axis A aligned with the x coordinate. As will become clearly understood from this disclosure, the degree of the symmetry depends upon the number of spectral-separation channels in 20 the system, which in turn depends on the number of facets of the reflecting prism.

For clarity of description, the spectrometer 10

characterized as consisting of three distinct functional
25 subassemblies. An image-collection subassembly 12 is first
provided to produce an intermediate two-dimensional image of
a test object 0 within a field-stop aperture in the system.
The image-collection subassembly 12 includes three distinct
components. An achromatic, aberration corrected, possibly
30 multi-element optic 14 and a single or multi-element optic
16 are used to produce a chromatically and spatially wellcorrected intermediate image 18 of the object 0 in the same
plane as an adjustable square/rectangular/circular fieldstop aperture 20 (placed normal to the optical axis of the
35 system). According to a novel aspect of the invention, the
element 16 of the preferred embodiment is a pupil relaying
optic to image a pupil plane at the location of the spectral

separation component of the spectrometer, as described in detail below. The adjustable aperture 20 functions as a field stop rejecting stray and scattered light and serves to properly size the spectral images on a detector downstream.

5 The aperture 20 is preferably made adjustable so that the system can be used with any size detector array. Without this field stop, the spectral images would overlap at the detector plane rendering the system useless.

10 The second group of components constitutes a spectralseparation subassembly 22 provided to separate the image 18 produced by the image-collection subassembly into its different spectral components. The spectral-separation subassembly 22 includes an achromatic, aberration-corrected, 15 possibly multi-element optic 24, a multi-directional reflecting element 26, a group of flat, highly reflective surfaces 28 and an assembly 30 of removable spectral filters. The component 24 is an optic with a positive focal length that collimates the light coming from each point in 20 the plane of the intermediate image 18. The light then strikes the multi-directional reflecting element 26 through an aperture stop 29 limiting the collimated space to an area no greater than the size of the reflecting element 26, so that no light passes past the element 26 without striking 25 it. The element 26 is preferably a multi-faceted prism constructed such that its multiple sides are triangular and connect to form an apex. The prism 26 is oriented with its apex facing towards the incident light, coincident with the system's optical axis A, and in the same plane as the 30 aperture-stop 29 and the exit pupil plane of the preceding optical system relayed by the optic 16. For the purpose of this disclosure, the exit pupil of the preceding optical system is defined as the exit pupil of the optical system comprising optics 14, 16 and 24, and/or any other optics 35 that may be used to provide a pupil plane at the apex of prism 26. Each side of the prism 26 that connects to form the apex is coated to be highly reflective and forms a

As illustrated in Fig. 1, each front-surface reflector. triangular side reflects a portion of the incoming light into a direction that is preferably orthogonal to the incident direction.

5

Alternatively, a truncated prism with equal quadrilateral sides could be used instead of prism 26 (that is, a prism truncated at a face parallel to the prism's base, herein defined as the top surface of the truncated prism). 10 truncated prism would similarly be oriented with its top surface facing towards the incident light, with the axis of the truncated prism coincident with the system's optical axis A, and preferably with the top surface in the same plane as the aperture-stop 29 and the exit pupil of the 15 preceding optical system relayed by the optic 16, as defined In view of the functional equivalence of this alternative embodiment, the term prism, as used herein, is intended and hereby defined to refer to either a prism or a truncated prism.

20

Thus, the prism 26 acts as a beam division mechanism for the imaging spectrometer. Each separate beam reflected from the prism is then further reflected by a corresponding mirror 28 toward a predetermined area on a detector array and filtered 25 by a corresponding optical filter in the filter assembly 30 adapted to transmit only a selected waveband. reflecting component 28 has external tip and tilt mechanical adjustments (not shown in the figures) for accurate placement of the images onto the detector. Once mechanical 30 alignment is accomplished, image registration is automatic without the need for any image processing. In order to ensure that the original beam is divided equally, the prism must be positioned exactly coaxially with the optical axis, and its top surface/apex must be coincident with the plane 35 of the aperture stop 29 (which is also the exit pupil plane) so that the energy incident on the reflective surfaces is divided equally among various channels for each field point.

According to another novel aspect of the invention, when the multi-spectral imaging system 10 is used by itself, the 5 operating f/number of the optic 14 is selected to make the multi-faceted prism 26 the aperture stop of the system. the multi-spectral imaging system is conjunction with another optical system (that is, without element 14 in the figures), the exit pupil of the external 10 optical system has to be imaged at the location of the multi-faceted prism 26 in order to ensure even division of This is the primary function of the the incident light. optic 16. By choosing the appropriate focal length for this lens, the exit pupil of the external optical system is 15 imaged at the location of apex/top surface of the multifaceted prism 26 to ensure optimal operation of the system.

It is noted that the inclusion of the pupil relaying optic 16 in the system to place the pupil at the apex/top surface 20 of the prism represents a significant improvement over the prior art because it provides for the equal distribution of the energy of the incident beam into the various channels of the optical system. In addition to achieving inter-image uniformity, forcing this location of the pupil at the prism 25 (i.e., the location of the beam division) ensures that parallax errors are eliminated. This is extremely important in order to achieve sub-pixel registration of the various images produced by the spectrometer for downstream data processing, if necessary. Another important element of the 30 design of the invention is the fact that the beam division is performed by way of reflection instead of refraction. Reflection, unlike refraction, is an achromatic process. That is, reflection has no wavelength dependence, so that splitting the light in this manner alleviates the optical 35 dispersion problems associated with systems that use prisms in transmission to perform the beam division.

11

A r-imaging subassembly 32 utilizes independent and spectrally filtered beams to produce multipl, spatially identical, but spectrally discrete, images of the original object onto a single two-dimensional detector array. The 5 re-imaging subassembly 32 comprises an imaging optic 34 and a detection system 36. The optic 34, which may be multi-element, is located past the removable filter assembly 30 and focuses the filtered light to form multiple discrete images on the detector array 36, with each image containing 10 different spectral components. These images are then viewed on a monitor or recorded by a computer connected to the detector (not shown in the figures).

According to yet another aspect of the invention, the optic 15 34 consists of a single element placed symmetrically on-axis, such that its optical characteristics and defects/aberrations affect all channels equally. This allows for the use of a single detector 36, improves the quality of the images formed on the detector, and further 20 facilitates the registration of the images for data storage and processing because each spectral image has identical optical properties and identical noise and gain properties.

Supposing, for example, that the object 0 were a circle, its spectrum contained multiple wavelengths, and the prism 26 were pyramidal with four highly reflective sides, there would be four-fold symmetry about the optical axis of the instrument and the output from the detector array, as seen on the monitor, would appear as shown in Fig. 2. Thus, by 30 splitting the light as described, the optical system of the invention features multiple, separate and independent arms. For example, if the multi-faceted prism 26 were provided with eight highly reflective sides (i.e., eight-fold symmetry), eight, separate and independent arms would 35 result. In each of these arms, the system includes a flat, externally adjustable, highly reflective surface that steers each divided beam of light towards the removable filter

Accurate 2800 file the importance and desirable with the secretical desirage compared in the territorian and the accuracy of the importance of the territorian and the accuracy of the importance of the territorian and the accuracy of the importance of the importanc

12

assembly 30, which contains as many filters as there are independent arms. Each filter allows the transmission of different spectral components of the incoming light.

5 It is important to note that the entire spectral separation mechanism 30 is located in a collimated space such that all the light from a particular point in the plane of the intermediate image 18 sees the same wavelength bandpass in its respective spectral channel (i.e., there is no bandpass 10 variation with numerical aperture). The removable filter assembly 30 is preferably designed to accommodate individual one-inch square or circular filters that can be easily interchanged allowing for the formation of spectral images corresponding to any desired bandpass.

15

In an alternative embodiment of the invention shown in Fig. 3, the re-imaging subassembly 32 comprises multiple imaging lenses 38 focusing each arm onto a separate sensor 36, one each of the spectral images produced by the 20 spectrometer. While prior-art devices have utilized multiple imaging lenses and mirror assemblies to both divide the pupil and form the images on the detector, the approach has had a serious disadvantage in the fact that it is very difficult to properly correlate and register the images. 25 This is due to boresight errors which result from the fact that the individual lenses cannot physically occupy the exact same location, so each lens sees the object at a different angle. Effectively, each lens sees a different object. To overcome this problem, the present invention 30 utilizes a separate subassembly to perform the pupil division/spectral separation, so that misalignment of the focusing elements will not lead to boresight/parallax errors. This important distinction, in combination with the reflective spectral-separation subassembly 22, represents a 35 significant advantage over existing technology.

It is noted that multi-faceted reflective prisms have been

13

used before for other applications. A variety of configurations have been designed where the prism is used for beam division to place different portions of the field of view of an optical system onto different detectors (see, 5 for example, U.S. Pat. No. 5,194,959 and No. 5,539,483. These are different applications than disclosed here. In order to split the field of view of a system, the beamsplitting assembly is necessarily not located at a pupil plane. In contrast, the subject of the present invention is 10 the replication of the field of view of the optical system, not its division.

Multi-faceted prisms have also been employed in illumination systems so that one light source can be used to illuminate 15 more than one object. U.S. Pat. No. 5,153,621 discusses such a configuration for placing the images of different objects adjacent to each other at an image plane. prism/multiple lens assembly is simply being used to channel light into different arms to illuminate different objects. 20 The prism is not specifically located in a pupil plane for the purpose of replicating images of the same object. Separate images of the source are not being formed at any image plane of the projection system. Instead, overlapping images of the source are being formed in the exit pupil of 25 the projection system. In addition, the concept disclosed in U.S. Pat. No. 5,153,621 works only if specific segments of the clear aperture of each of multiple lenses can be A single whole lens cannot be used to achieve the same effect.

30

In another embodiment 40 of the present invention shown in Fig. 4, a different approach is used to produce the separation of the image 18 into its spectral components. Instead of using a pyramid-prism/optical-filter combination, 35 the beam division and spectral filtering functions are combined by employing a set of interference filters 42 and a corresponding set of flat reflecting surfaces 44 in the

14

collimated space between the optics 24 and 34. Reflection is still the main m chanism by which the beams are divided; however, interference filters are used as beamsplitters to split the beams in a spectrally selective manner. The main advantage of this configuration is that it is a more radiometrically efficient design than the first embodiment. However, the use of interference filters can make the system less compact; therefore, it is not preferred in most instances.

10

المراد والمراجع والمدام في المال المستحدد المدال

As well understood in the art, an interference filter generally consists of a multi-layer coating on a glass substrate. It is designed to reflect certain wavelengths of light while transmitting others. Specifically, the wavelengths that are transmitted and those that are reflected depend on a number of physical parameters including the admittance of the substrate, the admittance of the layers in the coating, and the number and thickness of the layers. The angle of the filter with respect to the incident radiation also affects the wavelengths that are transmitted and reflected. Depending on the polarization of the radiation, the bandpass of the filter will shift to longer or shorter wavelengths as the angle between the filter and the incoming radiation increases.

25

separation Thus, the spectral capability interference-filter subassembly 46 depends on the use of specially designed interference filters 42 and on the fact that the bandpass of each filter changes with the tilt angle 30 of the filter. The operation of this subassembly can be understood by considering the light coming from a single point in the plane of the intermediate image 18, as shown in Fig. 4. The incident light, which is composed of a number of different wavelengths, is collimated by the optic 24. 35 The collimated light strikes a first interference filter 42, which is tilted about the x-axis at a particular angle (nominally 45 degrees) with respect to the incoming light.

15

This first filter 42 functions as a long-pass filter, reflecting shorter wavelengths and passing longer wavelengths, thereby splitting the light into two beams, each with different spectral components. Directly behind 5 the first interference filter 42 is a reflective flat 44 tilted about the x-axis at a slightly greater angle than interference filter 42. The transmitted light strikes the flat reflecting surface 44 and is directed upward in the same fashion as the initially reflected light. 10 passes through the interference filter 42 a second time essentially unaffected. For optimal performance, in this embodiment of the invention the pupil relaying optic 16 is adapted to image an exit pupil of preceding optics at a plane coincident with the plane of the focusing optic 34.

15

Thus, the first half of the spectral-separation subassembly 46 splits the input light into two spectrally different beams propagating toward a second interference filter 42'. The filter 42' is also tilted about the x-axis (nominally 45 20 degrees) and it has a different transmission curve. simplicity of explanation, assume for example that the short wavelength beam that comes from the first filter consists of blue light and green light, while the long wavelength beam consists of orange light and red light. The transmission of 25 the second filter 42' would then be selected such that the green light of the short wavelength beam and the orange light of the long wavelength beam are passed while the blue light of the short wavelength beam and the red light of the long wavelength beam are reflected. As with the 30 interference filter 42, a flat reflecting surface 44' is located behind the interference filter 42'. This surface is tilted about the x-axis at a slightly greater angle than the interference filter 42'. It is also tilted about the y-axis to provide separation in the other direction (i.e. along the 35 x-axis). The green and orange light passed by the interference filter 42' is reflected by the mirror 44' so that these beams are passed back through the second

9τ

interference filter 42' towards a focusing ptic 34.

cannot depict their separation into four independent beams. 4 is a two-dimensional drawing, it only shows two beams and same as for the embodiment depicted in Fig. 1. Since Fig. The result, seen in Fig. 2, is the interference filters). on the characteristics of qebeuqrud 15 components, orange, and one red (or consisting of four other spectral spatially-identical images; one being blue, one green, one initial image 18 is decomposed into four well-corrected, the points at the intermediate image plane. Therefore, the 10 Obviously, this entire explanation can be extended to all detector array each beam will be spatially separated: propagating at different angles, by the time they reach the the first embodiment of the invention. Since the beams are element, focuses each beam onto the detector array 36, as in 5 imaging subassembly 32. The optic 34, which may be multicomponents propagating at different angles toward the re-Thus, four beams are produced having different spectral

It is noted that the flat reflecting mirrors 44 and 44' described in this embodiment could alternatively be replaced with other interference filters to afford additional spectral filtering.

Therefore, as in the embodiment of Figs. 1 and 3, the interference filter version of the multi-spectral 2-D saguire more than four spectral images. In the first two acquire more than four spectral images. In the first two increasing the number of reflective facets of the prism 26 and by adding a corresponding number of flat reflective and by adding a corresponding number of flat reflective surfaces and filters. In the alternative embodiment of Fig. 4, more spectral images can be acquired by adding the

35 appropriate number of interference filters with the desired transmission properties.

52

For instance, the system illustrated in Fig. 5 shows three interfer nce filters 48,50,52 in front of the first reflecting surface 44. As in the four-color example, these filters are tilted about the x-axis with each filter at a 5 slightly different angle, so that the spectral images will be spatially separated at the detector 36. The reflection bandpass of each filter is illustrated qualitatively in Fig. After this first train of three interference filters 48,50,52 and the flat reflecting surface 44, the initial 10 beam has been split into four beams each having different spectral components of the original light and propagating toward a fourth interference filter 54. Fig. 7 illustrates the reflection bandpass of interference filter 54 overlaid on the bandpasses of interference filters 48,50,52. All the 15 wavelengths in the shaded blocks (4 blocks) are reflected by interference filter 54, and all the wavelengths in the unshaded blocks (4 blocks) are transmitted. The light transmitted by the filter is reflected by the flat reflecting surface 56 (which is tilted about x and y) past 20 the interference filter 54, so that these beams are directed back and transmitted through the interference filter 54 Thus, eight beams towards the re-imaging subassembly 32. having different spectral components propagate at different angles toward the multi-element optic 34, which focuses each Since the beams are 25 beam onto the detector array 36. propagating at different angles, by the time they reach the detector array each beam will be spatially separated. Therefore, the initial image is decomposed into eight wellcorrected, spatially-identical images as shown in Fig. 8. 30 Again, since Fig. 5 is a two-dimensional drawing, it does not depict the separation of the one initial beam into eight independent beams.

For this embodiment, the incorporation of a pupil relaying 35 optic 16 is again a significant improvement over prior art for the same reasons mentioned with regards to the preferred embodiment. In the alternative embodiment, however, the

pupil relaying optic 16 is used to image the exit pupil of the preceding optical system at the location of the final imaging lens 34. Specifically, by imaging the exit pupil of the preceding optics at this location, vignetting (light 5 loss as a function of field) is significantly minimized, improving energy throughput and optimizing image registration.

For both the four-band and eight-band examples discussed the drawings show that there are two filter assemblies within the spectral separation subassembly. the preferred embodiments of invention these filter assemblies consist of removable modules that allow an operator to easily set the desired bandpass of the spectral It is noted that in all embodiments the spectral separation is achieved without the use of any moving parts, thus alleviating any potential image registration problems. In addition, no algorithms are necessary for reconstructing the spectral images. Aside from the fact that no scanning 20 is required, these designs have excellent radiometric throughput keeping the signal-to-noise ratio high. embodiments are compact designs that make the imaging spectrometer system portable, allowing the device to be also easily used in field experiments. Thus, the applications 25 for the system of the invention are numerous and varied, including industrial and agricultural inspection, weather detection, and weapons testing. For example, the device can be used to display two-dimensional temperature maps of an object in real-time. This is very useful in some industries 30 for on-line process control during manufacturing. imaging spectrometer can also be used for feature extraction and classification tasks such as automated pattern recognition, image enhancement, and scene analysis.

35 Various changes in the details, steps and components that have been described may be made by those skilled in the art within the principles and scope of the invention herein

illustrated. Therefore, while the present invention has been shown and described herein in what is believed to be the m st practical and preferred embodiments, it is recognized that departures can be made therefrom within the scope of the invention, which is not to be limited to the details disclosed herein but is to be accorded the full scope embraced by any and all equivalent processes and products.

I claim:

1. A multi-spectral two-dimensional imaging spectrometer comprising:

means for producing an intermediate image of an object along an optical axis at a plane substantially coincident with a field-stop aperture of the spectrometer;

means for dividing incident light from said intermediate image into multiple light channels;

- means for modifying each channel to produce a predetermined optical state of the intermediate image; and means for imaging each channel on a detector, thereby producing multiple two-dimensional images of the intermediate image;
- wherein said means for producing an intermediate image of an object includes a pupil relaying optic for imaging an exit pupil of preceding optics at a predetermined exit pupil plane along said optical axis, said plane being selected such as to minimize vignetting.

20

- 2. The spectrometer of Claim 1, wherein said means for dividing incident light from said intermediate image into multiple light channels comprises a multi-faceted reflective prism disposed symmetrically along said optical axis and 25 having a top surface or apex facing said incident light and substantially coincident with said exit pupil plane of preceding optics.
- 3. The spectrometer of Claim 2, wherein said means for 30 modifying each channel comprises a reflective surface directing each channel toward said means for imaging each channel on a detector.
- 4. The spectrometer of Claim 3, wherein said means for 35 modifying each channel further comprises an optical filter in each channel toward said means for imaging each channel on a detector.

- 5. The spectrometer of Claim 2, further comprising an aperture stop placed substantially at said exit pupil plane of preceding optics.
- 5 6. The spectrometer of Claim 4, further comprising an aperture stop placed substantially at said exit pupil plane of preceding optics.
- 7. The spectrometer of Claim 1, wherein said means for 10 imaging each channel on a detector consists of a single optical means placed symmetrically on-axis.
- 8. The spectrometer of Claim 2, wherein said means for imaging each channel on a detector consists of a single 15 optical means placed symmetrically on-axis.
 - 9. The spectrometer of Claim 4, wherein said means for imaging each channel on a detector consists of a single optical means placed symmetrically on-axis.
- 10. The spectrometer of Claim 1, wherein said means for dividing incident light from said intermediate image into multiple light channels and said means for modifying each channel include a pair of dispersive assemblies, each 25 assembly including at least one interference filter and one reflective surface disposed at different angles with respect to said optical axis such that said incident light is partially reflected and partially transmitted by each interference filter according to predetermined selected 30 wavebands to produce said multiple light channels directed toward said means for imaging each channel on a detector.
- 11. The spectrometer of Claim 10, wherein said exit pupil plane of preceding optics is substantially coincident with 35 said means for imaging each channel on a detector.

- 12. The spectrometer of Claim 10, wherein said means for imaging each channel on a detector consists of a single optical means placed symmetrically on-axis.
- 5 13. A multi-spectral two-dimensional imaging spectrometer comprising:

means for producing an intermediate image of an object along an optical axis at a plane substantially coincident with a field-stop aperture of the spectrometer;

10 means for dividing incident light from said intermediate image into multiple light channels;

means for modifying each channel to produce a predetermined optical state of the intermediate image; and

means for imaging each channel on a detector, thereby producing multiple two-dimensional images of the

15 producing multiple two-dimensional images of the intermediate image;

wherein said means for dividing incident light from the intermediate image into multiple light channels is positioned along said optical axis within a collimated space 20 and substantially coincident with an exit pupil plane of preceding optics.

- 14. The spectrometer of Claim 13, wherein said means for dividing incident light from said intermediate image into 25 multiple light channels comprises a multi-faceted reflective prism disposed symmetrically along said optical axis and having a top surface or apex facing said incident light.
- 15. The spectrometer of Claim 13, wherein said means for 30 modifying each channel comprises a reflective surface directing each channel toward said means for imaging each channel on a detector.
- 16. The spectrometer of Claim 15, wherein said means for 35 modifying each channel further comprises an optical filter in each channel toward said means for imaging each channel on a detector.

23

- 17. The spectrom ter of Claim 13, further comprising an aperture stop placed substantially at said exit pupil plane of preceding optics.
- 5 18. The spectrometer of Claim 13, wherein said means for imaging each channel on a detector consists of a single optical means placed symmetrically on-axis.
- 19. A multi-spectral two-dimensional imaging spectrometer
 10 comprising:

means for producing an intermediate image of an object along an optical axis at a plane substantially coincident with a field-stop aperture of the spectrometer;

means for dividing incident light from said 15 intermediate image into multiple light channels;

means for providing an aperture stop at a plane in a collimated space along said optical axis, said plane being substantially coincident with said means for dividing incident light from said intermediate image;

20 means for modifying each channel to produce a predetermined optical state of the intermediate image; and means for imaging each channel on a detector, thereby producing multiple two-dimensional images of the intermediate image.

25

20. The spectrometer of Claim 19, wherein said means for dividing incident light from said intermediate image into multiple light channels comprises a multi-faceted reflective prism disposed symmetrically along said optical axis and 30 having a top surface or an apex facing said incident light and substantially coincident with said aperture stop plane; and wherein said means for modifying each channel comprises reflective surfaces directing each of said multiple light channels toward said means for imaging each channel on a 35 detector.

enconsideration of word leading in expansions in the property of the expension of the control of

21. A multi-spectral two-dimensional imaging spectrometer comprising:

means for producing an intermediate image of an object along an optical axis at a plane substantially coincident 5 with a field-stop aperture of the spectrometer;

means for dividing incident light from said intermediate image into multiple light channels;

means for modifying each channel to produce a predetermined optical state of the intermediate image; and 10 means for imaging each channel on a detector, thereby producing multiple two-dimensional images of the intermediate image;

wherein said means for imaging each channel on a detector consists of a single optical means placed 15 symmetrically on-axis.

22. The spectrometer of Claim 21, wherein said means for dividing incident light from said intermediate image into multiple light channels comprises a multi-faceted reflective 20 prism disposed symmetrically along said optical axis and having a top surface or an apex facing said incident light, and said means for modifying each channel comprises a reflective surface directing each of said multiple light channels toward said means for imaging each channel on a 25 detector.

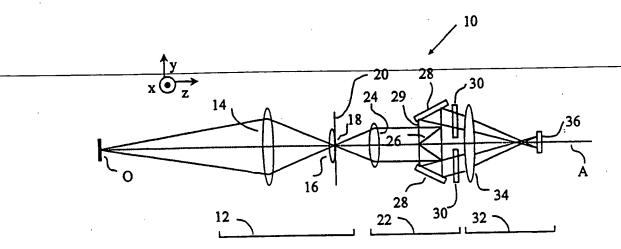
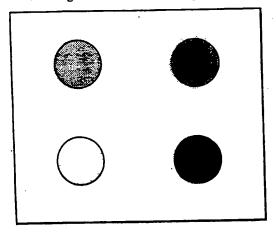


FIG. 1

Wavelength band 1 Wavelength band 2



Wavelength band 3 Wavelength band 4

FIG. 2

SUBSTITUTE SHEET (RULE 26)

2/5

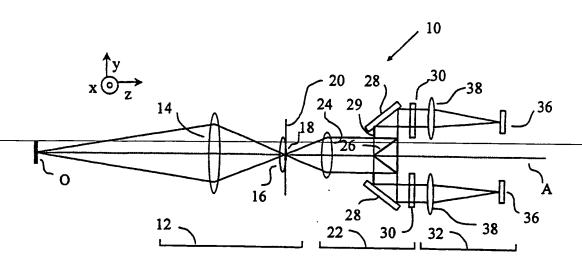


FIG. 3

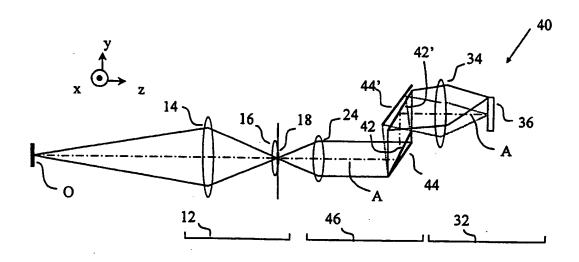


FIG. 4

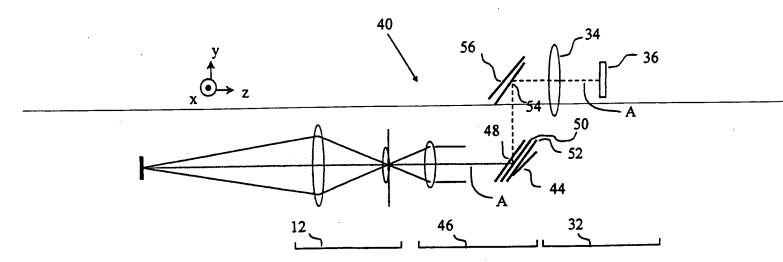


FIG. 5

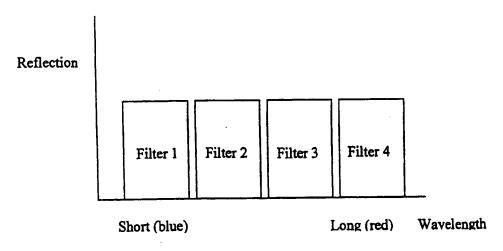


FIG. 6

4/5

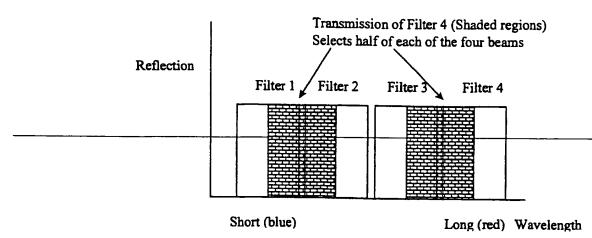


FIG. 7

Band 1	Band 5	Possible colors corresponding to bands. (Assume red is the lightest)
Band 2	Band 6	Band 1 = Red Band 2 = Orange/Orange-Green Band 3 = Green/Green- Orange Band 4 = Blue Band 5 = Red-Orange
Band 3	Band 7	Band 6 = Orange-Red Band 7 = Green-Blue Band 8 = Blue-Green
Band 4	Band 8	

SUBSTITUTE SHEET (RULE 26)

FIG. 8

INTERNATIONAL SEARCH REPORT

2003805820 Lineary Docomentary and Settings requirementation cores generally inflement mean contemporary and analysis and Settings requirementary of the settings of the setti

International application No.
PCT/US98/14218

IPC(6)	CLASSIFICATION OF SUBJECT MATTER IPC(6) :G01J 3/26 US CL :356/419 ccording to International Patent Classification (IPC) or to both national classification and IPC					
	DS SEARCHED					
Ainimum d	ocumentation searched (classification system followed	by classification symbols)	——————————————————————————————————————			
U.S.: 356/419, 326, 328; 250/226 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE						
						Electronic data base consulted during the international search (name of data base and, where practicable, search to NONE
c. Doc	UMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.			
A,P	US 5,729,011 A (SEKIQUCHI) 17 Macentire document.	rch 1998 (17.03.98), see the	1-22			
		,				
(
		•				
		•				
•						
			<u> </u>			
Furt	ther documents are listed in the continuation of Box C	See patent family annex.				
• . 8	pacial categories of eited documents:	"T" later document published after the in date and not in conflict with the ap				
	comment defining the general state of the art which is not considered to be of particular relevance	the principle or theory underlying the				
	serier document published on or after the international filing data	"X" document of particular relevance; to considered powel or extend be considered				
	locument which may throw doubts on priority claim(s) or which is titled to establish the publication date of exother citation or other	when the document is taken sions				
	becist serios (m shecitjed)	document of particular relevance; openidered to involve an invention				
	document referring to an oral disclosure, use, exhibition or other nears	combined with one or more other subside obvious to a person skilled in				
	document published prior to the interactional filing date but later then the priority date claimed	*&* document member of the same put	at fee ily			
	se actual completion of the international search	Date of mailing of the international a	earch report			
17 AUG	GUST 1998		0 SEP 1990			
Name and	mailing address of the ISA/US	Authorized officer				
Box PC7		F. L. EVANS	music			
	ton, D.C. 20231	Telephone No. (703) 308-0956				